

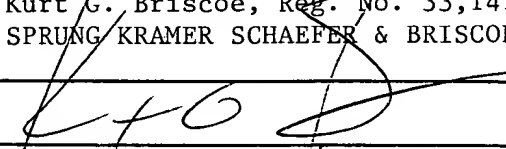
Please type a plus sign (+) inside this box → ☐

PTO/SB/21 (12-97)  
Approved for use through 9/30/00. OMB 0651-0031  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<b>TRANSMITTAL FORM</b> <i>(to be used for all correspondence after initial filing)</i>	Application Number	08/888,609	
	Filing Date	July 7, 1997	
	First Named Inventor	Lutz Heuer, et al.	
	Group Art Unit	1616	
	Examiner Name	A. Robinson	
Total Number of Pages in This Submission		Attorney Docket Number	Bayer 8890.3-KGB

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Response	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> To Convert a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input type="checkbox"/> Additional Enclosure(s) (please identify below)
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	
<input checked="" type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Small Entity Statement	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Response to Missing Parts/Incomplete Application	Remarks	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Kurt G. Briscoe, Reg. No. 33,141 SPRUNG KRAMER SCHAEFER & BRISCOE
Signature	
Date	January 19, 1999

CERTIFICATE OF MAILING		
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: <input type="text"/>		
Typed or printed name	<input type="text"/>	
Signature	<input type="text"/>	Date

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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**FEE TRANSMITTAL**Note: Effective October 1, 1997.  
Patent fees are subject to annual revision.**TOTAL AMOUNT OF PAYMENT (\$)** 240.00**Complete If Known**

Application Number	08/888,609
Filing Date	July 7, 1997
First Named Inventor	Lutz Heuer
Group Art Unit	1616
Examiner Name	A. Robinson
Attorney Docket Number	Bayer 8890.3-KGB

**METHOD OF PAYMENT (check one)**

- 1.
- ☒
- The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit  
Account  
Number  
Deposit  
Account  
Name

02-1445

SPRUNG KRAMER SCHAEFER &amp; BRISCOE

- ☒
- Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
- ☐
- Charge the Issue Fee Set In Notice of Allowance

- 2.
- ☐
- Payment Enclosed:

☐ Check
 ☐ Money Order
 ☐ Other
**FEE CALCULATION****1. FILING FEE**

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 790	201 395	Utility filing fee	
106 330	206 165	Design filing fee	
107 540	207 270	Plant filing fee	
108 790	208 395	Reissue filing fee	
114 150	214 75	Provisional filing fee	
<b>SUBTOTAL (1) (\$)</b>			

**2. CLAIMS**

Total Claims	Extra	Fee from below	Fee Paid
20		X	
3		X	
		X	

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
103 22	203 11	Claims in excess of 20
102 82	202 41	Independent claims in excess of 3
104 270	204 135	Multiple dependent claim
109 82	209 41	Reissue independent claims over original patent
110 22	210 11	Reissue claims in excess of 20 and over original patent

**SUBTOTAL (2) (\$)****FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	
127 50	227 25	Surcharge - late provisional filing fee or cover sheet.	
139 130	139 130	Non-English specification	
147 2,520	147 2,520	For filing a request for reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	215 55	Extension for reply within first month	
116 400	216 200	Extension for reply within second month	
117 950	217 475	Extension for reply within third month	
118 1,510	218 755	Extension for reply within fourth month	
128 2,060	228 1,030	Extension for reply within fifth month	
119 310	219 155	Notice of Appeal	
120 310	220 155	Filing a brief in support of an appeal	
121 270	221 135	Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive - unavoidable	
141 1,320	241 660	Petition to revive - unintentional	
142 1,320	242 660	Utility issue fee (or reissue)	
143 450	243 225	Design issue fee	
144 670	244 335	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
123 50	123 50	Petitions related to provisional applications	
126 240	126 240	Submission of Information Disclosure Stmt	240.00
581 40	581 40	Recording each patent assignment per property (times number of properties)	
146 790	246 395	Filing a submission after final rejection (37 CFR 1.129(a))	
149 790	249 395	For each additional invention to be examined (37 CFR 1.129(b))	
Other fee (specify) _____			
Other fee (specify) _____			
<b>SUBTOTAL (3) (\$)</b>			240.00

Reduced by Basic Filing Fee Paid

**SUBMITTED BY**

Typed or Printed Name Kurt G. Briscoe

Signature

Date 1/19/99

**Complete (if applicable)**

Reg. Number 33,141

Deposit Account User ID 02-1445

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Bayer 8890.3-KGB:lad  
Le A 29 373-US 03

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants : Lutz HEUER, et al.  
Serial No. : 08/888,609  
Filed : July 7, 1997  
For : MICROBICIDAL COMPOSITIONS  
Art Unit : 1616  
Examiner : A. Robinson

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Hon. Asst. Commissioner For Patents  
Washington, D. C. 20231

Sir:

**INFORMATION DISCLOSURE STATEMENT**

In accordance with 37 CFR § 1.56 and § 1.97(c), Applicants wish to  
call the attention of the Examiner to the references listed below.

- (1) U.S. 4,994,480, Feb. 19, 1991, Büchel, et al.
- (2) E.P. 478,195, April 1, 1992
- (3) NL 8,701,282, Jan. 4, 1988

Copies of these references are submitted herewith along with Form PTO-  
1449.

The undersigned hereby certifies that each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the statement.

The Commissioner is hereby authorized to charge the fee for consideration of this information disclosure statement, which is set forth in § 1.17(p), to Deposit Account No. 02-1445.

References (1)-(3) are cited in the Search Report issued by the European Patent Office. A copy of this Search Report is also enclosed. For the Examiner's information, the designation "X" on the Search Report is translated to mean the document is "particularly relevant if taken alone"; "Y" means "particularly relevant if combined with another document of the same category"; "P" means "intermediate document"; and "D" means "document cited in the application". There is no English equivalent for reference (3). Applicants enclose a copy of a patent family search and have highlighted the abstract.

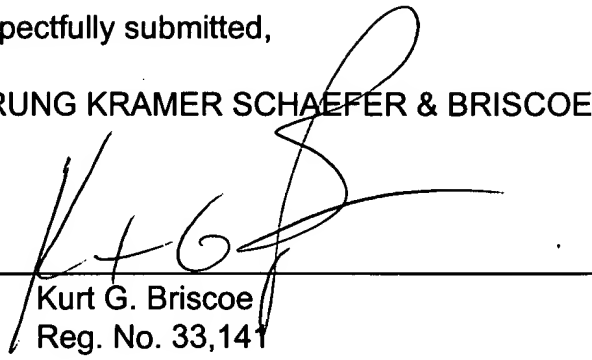
The remaining references listed on the Search Report have been discussed in prior Information Disclosure Statements and cited on Form PTO 1449. Copies of these references are not being provided.

Consideration of the foregoing in relation to this application is  
respectfully requested.

Respectfully submitted,

SPRUNG KRAMER SCHAEFER & BRISCOE

By



Kurt G. Briscoe  
Reg. No. 33,141

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